ON THE CLASSIFICATION OF SIMPLE APPROXIMATELY SUBHOMOGENEOUS C*-ALGEBRAS NOT NECESSARILY OF REAL RANK ZERO

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ABSTRACT. A classification is given of certain separable nuclear C*-algebras not necessarily of real rank zero, namely the class of simple C*-algebras which are inductive limits of continuous-trace C*-algebras whose building blocks have their spectrum homeomorphic to the interval [0, 1] or to a finite disjoint union of closed intervals. In particular, a classification of those stably AI algebras which are inductive limits of hereditary sub-C*-algebras of interval algebras is obtained. Also, the range of the invariant is calculated.

1. Introduction

Q. Lin and N. C. Phillips have shown in [34] that an important class of simple crossed product C*-algebras are approximately subhomogeneous algebras, abbreviated ASH algebras. We recall that a C*-algebra is said to be subhomogeneous if it is isomorphic to a sub-C*-algebra of $pM_n(C_0(X))p$ for some natural number n, p a projection in $M_n(C_0(X))$ and for some locally compact Hausdorff space X. An ASH algebra is an inductive limit of subhomogeneous algebras.

It has become an important task to classify simple ASH algebras by their Elliott invariant. This article contains partial results in this direction.

The first result on the classification of non real rank zero algebras was the classification by G. Elliott of unital simple approximately interval algebras, abbreviated AI algebras (see [21]). This result was extended to the non-unital case independently by I. Stevens ([45]) and K. Thomsen ([50]). Also, an interesting partial result in the non-simple case was given by K. Stevens ([47]). It is worth mentioning that all these algebras are approximately homogeneous algebras, abbreviated AH algebras, and that the most general classification result for AH algebras was obtained by Elliott, Gong and Li in [26].

The first isomorphism result for ASH algebras was the proof by H. Su of the classification of C*-algebras of real rank zero which are inductive limits of matrix algebras over non-Hausdorff graphs; see [48]. The classification of ASH algebras was also considered in [24], [33], [43], [40], and [51]. (The list of contributions is intended to be representative rather than complete for the classification of ASH algebras.)

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An important result on the classification of ASH algebras not of real rank zero, and in fact the first one, is due to I. Stevens ([46]). The main result of the present article is a substantial extension of Stevens's classification to the class given by all simple C*-algebras which are inductive limits of continuous-trace C*-algebras with spectrum homeomorphic to the closed interval [0, 1] (or a finite disjoint union of closed intervals). In particular, the spectra of the building blocks considered here are the same as for those considered by Stevens. The building blocks themselves are more general.

We proceed by approximating the building blocks appearing in a given inductive limit decomposition with special building blocks. Here by special building blocks we mean continuous-trace C*-algebras with finite dimensional irreducible representations and such that the dimension, as a function on the interval, is a finite (lower semicontinuous) step function. We prove that in this case the algebra has a finite presentation, with stable relations. A result of T. Loring allows us to conclude that we can replace the original inductive limit decomposition with an inductive limit of special building block C*-algebras.

The advantage of having inductive limits of special subhomogeneous algebras is the following: for such special subhomogeneous algebras we have an Existence Theorem and a Uniqueness Theorem due to I. Stevens. Making use of this advantage we prove the classification theorem by applying the Elliott intertwining argument.

As a special case of the theorem we obtain the classification of simple inductive limits of arbitrary hereditary sub-C*-algebras of interval algebras. (Stevens considered very special inductive limits of hereditary sub-C*-algebras.)

We also complete I. Stevens's description of the range of the invariant by including the case of an unbounded trace norm map.

We end the article by comparing the size of the class of stably AI algebras and the class of AI algebras.

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2. The problem and the results

We consider the following class of C*-algebras: simple C*-algebras which are inductive limit of continuous-trace C*-algebras whose building blocks have their spectra homeomorphic to [0,1] or finite disjoint union of closed intervals.

We prove a complete isomorphism theorem for this class, namely:

Theorem 2.0.1. Let A and B be two simple C^* -algebras which are inductive limit of continuous-trace C^* -algebras whose spectrum is homeomorphic

to [0,1] or finite disjoint union of closed intervals and all irreducible representations are finite dimensional. We also assume that the connecting maps are injective. Assume that

- 1. there is an isomorphism $\psi_0: D(\mathcal{A}) \to D(\mathcal{B})$,
- 2. there is an isomorphism $\psi_T : (\text{Aff} T^+ \mathcal{A}, \text{Aff}' \mathcal{A}) \to (\text{Aff} T^+ \mathcal{B}, \text{Aff}' \mathcal{B})$ and the two isomorphisms are compatible:

$$\widehat{\psi_0([p])} = \psi_T(\widehat{[p]}), \ \forall [p] \in D(\mathcal{A}).$$

Then there is an isomorphism of the algebras A and B that induces the given isomorphism at the level of the invariant.

Corollary 2.0.2. Let A and B be two simple C^* -algebras which are inductive limit of hereditary sub- C^* -algebras of interval algebras. We also assume that the connecting maps are injective. Assume that

- 1. there is an isomorphism $\psi_0: D(\mathcal{A}) \to D(\mathcal{B})$,
- 2. there is an isomorphism $\psi_T : (\text{Aff}T^+\mathcal{A}, \text{Aff}'\mathcal{A}) \to (\text{Aff}T^+\mathcal{B}, \text{Aff}'\mathcal{B})$ and the two isomorphisms are compatible:

$$\widehat{\psi_0([p])} = \psi_T(\widehat{[p]}), \ \forall [p] \in D(\mathcal{A}).$$

Then there is an isomorphism of the algebras A and B that induces the given isomorphism at the level of the invariant.

We also describe the range of the invariant. More precisely, we prove the following theorem:

Theorem 2.0.3. Suppose that G is a simple countable dimension group, V is the cone associated to a metrizable Choquet simplex S, $\lambda: S \to Hom^+(G,R)$ is a continuous affine map which sends extreme rays to extreme rays, and $f: S \to (0,\infty]$ any affine lower semicontinuous map. Then $[G,(V,S),\lambda,f]$ is the Elliott invariant of some simple non-unital inductive limit of continuous-trace C^* -algebras.

3. Partial case considered by I. Stevens

I. Stevens [46] has solved the classification problem in the particular case of algebras that are inductive limits of special building blocks:

Theorem 3.0.4. (Stevens's Isomorphism Theorem) Let A and B be simple approximately step-hereditary C^* -algebras with injective connecting maps. Assume that

- 1. there is an isomorphism $\psi_0: D(\mathcal{A}) \to D(\mathcal{B})$,
- 2. there is an isomorphism $\psi_T : (AffT^+\mathcal{A}, Aff'\mathcal{A}) \to (AffT^+\mathcal{B}, Aff'\mathcal{B})$ and the two isomorphisms are compatible:

$$\widehat{\psi_0([p])} = \psi_T(\widehat{[p]}), \ \forall [p] \in D(\mathcal{A}).$$

Then there is an isomorphism of the algebras A and B that induces the isomorphism at the level of the invariant.

I. Stevens [46] also describes the range of the invariant, assuming the trace norm map is bounded:

Theorem 3.0.5. (Stevens's Range of the Invariant Theorem) Let (G, u) be a simple dimension group, u a positive element in G, (Γ, S) a cone with a metrizable Choquet simplex S as its base, $\mu: S \to (0, 1]$, a lower semicontinuous affine map and $\lambda: \Gamma \to s(G)$, where s(G) are the functionals on G, and λ is a continuous, surjective affine map sending extreme rays in extreme rays. Then $[G, (V, S), \lambda, f]$ is the Elliott invariant of some hereditary subalgebra of a simple unital AI-algebra.

Our goal in this paper is to prove Theorem 2.0.1 and Theorem 2.0.3 which generalize Stevens's theorems 3.0.4 and 3.0.5.

4. Building blocks and their inductive limits

We start by describing the class of algebras in which we are interested. Suppose \mathcal{A} is a C*-algebra with Hausdorff spectrum T. It is known that each primitive quotient A(t) has up to equivalence a unique irreducible representation π_t . Hence, whenever $p \in \mathcal{A}$ is an element of \mathcal{A} such that p(t) is a projection, the rank of p(t) is well-defined as the dimension of the range of $\pi_t(p(t))$. So it make sense to say that p(t) is a rank one projection.

Definition 4.0.6. A continuous-trace C*-algebra is a C*-algebra \mathcal{A} with Hausdorff spectrum T such that, for each $t \in T$, there are a neighborhood V of t and $a \in \mathcal{A}$ such that a(s) is a rank one projection for all $s \in V$.

Remark 4.0.7. The existence of a local rank one projection is sometimes summed up by saying that A satisfies Fell's condition.

Remark 4.0.8. If \mathcal{A} is a continuous-trace C*-algebra, then its spectrum T is a locally compact Hausdorff space. By [16] we get a continuous field \mathcal{A} over T of elementary C*-algebras with Fell's condition, and $A \cong \Gamma_0(\mathcal{A})$, the C*-algebra of cross-sections, which maps C(T) into the center $Z(M(\mathcal{A}))$ of the multiplier C*-algebra $M(\mathcal{A})$.

We recall:

Definition 4.0.9. A C*-algebra \mathcal{A} is stable if $\mathcal{A} \otimes \mathbb{K} \cong \mathcal{A}$, with $\mathbb{K} = \mathbb{K}(H)$ the algebra of compact operators on a separable infinite dimensional Hilbert space H.

Remark 4.0.10. A stable C*-algebra is necessarily a non-unital C*-algebra.

Definition 4.0.11. Two C*-algebras \mathcal{A} and \mathcal{B} are stably isomorphic, denoted $\mathcal{A} \sim \mathcal{B}$, if $\mathcal{A} \otimes \mathbb{K} \cong \mathcal{B} \otimes \mathbb{K}$.

Remark 4.0.12. The notion of being stably isomorphic for C*-algebras (or Morita-Rieffel equivalence for the separable case, cf. [9]) is an equivalence relation which is weaker than the isomorphism relation. It is easy to see that $M_2(\mathbb{C})$ is stably isomorphic to $M_3(\mathbb{C})$ but $M_2(\mathbb{C})$ is not isomorphic to $M_3(\mathbb{C})$.

Definition 4.0.13. A C*-algebra \mathcal{A} is a stably (isomorphic) AI algebra if $\mathcal{A} \otimes \mathbb{K}$ is an AI-algebra.

In a similar way we define:

Definition 4.0.14. A C*-algebra is a stably (isomorphic) AF algebra (or AT algebra, or AH algebra) if $\mathcal{A} \otimes \mathbb{K}$ is an AF-algebra (or AT-algebra, or AH-algebra.)

Remark 4.0.15. Any AI algebra is also a stably AI algebra.

Remark 4.0.16. Any full hereditary subalgebra of an AI-algebra is a stably AI algebra. The class of algebras that Theorem 3.0.4 classifies, namely approximately step-hereditary subalgebras of simple AI-algebras, are stably AI algebras.

Remark 4.0.17. It can be shown (see [16]) that in general a continuous-trace C*-algebra A with spectrum T is locally Morita-Rieffel equivalent to $C_0(T)$. The only obstruction for A to be Morita-Rieffel equivalent to $C_0(T)$ is the Dixmier-Duoady class: $\delta(A)$ in $H^3(T,\mathbb{Z})$. In the case we are considering in this article, T is [0,1] or finite disjoint union of closed intervals for which the Dixmier-Duoady class vanishes. Therefore our building blocks are stably AI algebras, in fact stably interval algebras.

Next we notice that there are algebras which are stably AI algebras but not AI-algebras as opposed to stably AF algebras which are necessarily AF-algebra:

Proposition 4.0.1. Any stably AF algebra is necessarily an AF-algebra.

Proof. Let A be a stably AF-algebra. $A \otimes \mathbb{K}$ is AF-algebra. If we denote by e_{11} the rank one projection and by a the unit of the multiplier algebra of A then A is isomorphic to the cut down $a \otimes e_{11}(A \otimes \mathbb{K})a \otimes e_{11}$ which is a hereditary subalgebra of the AF-algebra $A \otimes \mathbb{K}$. By Elliott's result [19] we know that hereditary subalgebras of AF-algebras are AF-algebras and so A is an AF-algebra.

Proposition 4.0.2. (I. Stevens) There are algebras which are stably AI algebras but not AI-algebras.

Proof. This follows from the existence of a hereditary subalgebra of a simple AI-algebra which is not AI-algebra. To prove this suppose the contrary. Then any hereditary subalgebra of an AI-algebra has an approximate unit consisting of projections and thus any AI-algebra has real rank zero. This is a contradiction because the AI-algebra C[0,1] is not of real rank zero. The

first example of a simple AI algebra not of real rank zero appeared in [3]. Uncountable many examples of simple AI-algebra not of real rank zero were constructed by K.Thomsen in [50].

Moreover we have the following result:

Proposition 4.0.3. Any (simple) stably AI algebra can be realized as a hereditary subalgebra of a (simple) AI-algebra.

Proof. Assume A is a (simple) stably AI-algebra. Hence $A \otimes \mathbb{K}$ is a (simple) AI-algebra. A is isomorphic to the cut down:

$$A \cong a \otimes e_{11}(A \otimes \mathbb{K})a \otimes e_{11}$$

which is a hereditary subalgebra of the (simple) AI algebra $A \otimes \mathbb{K}$, where a is the unit of the multiplier algebra of A, e_{11} a rank 1 projection in \mathbb{K} . \square

Remark 4.0.18. Any continuous-trace C^* -algebra whose spectrum is homeomorphic to the closed interval [0,1] and has all irreducible representations finite dimensional is isomorphic to a hereditary sub- C^* -algebra of an interval algebra.

A natural question to ask is whether all simple ASH algebras are stably AH algebras. This question has a negative answer:

Proposition 4.0.4. There are simple ASH algebras that are not stably AH algebras.

Proof. For simple inductive limit of splitting interval algebras is shown in [48] that K_0 fails to have the Riesz decomposition property. Therefore these ASH algebras can not be stably AH algebras.

It is important to notice that the class of (simple) stably AI algebras is closed under taking inductive limits:

Proposition 4.0.5. Inductive limits of (simple) stably AI algebras are still (simple) stably AI algebra.

Proof. Consider an inductive sequence of (simple) stably AI algebras (A_i, ψ_i) . Then we know that $A_i \otimes \mathbb{K}$ is a (simple) AI algebra. Moreover the inductive limit of the direct system $(A_i \otimes \mathbb{K}, \psi \otimes id)$

$$\lim_{\longrightarrow} (A_i \otimes \mathbb{K}) \cong (\lim_{\longrightarrow} A_i) \otimes \mathbb{K}$$

is a simple AI-algebra by using [20], Theorem 4.3 the implication (iii) \rightarrow (iv). Therefore $\lim A_i$ is (simple) stably AI algebras.

We end this section with an example of a continuous-trace C^* -algebra $\mathcal A$ which is stably AI algebra but not an AI algebra. In addition $\mathcal A$ is a C^* -algebra not of real rank zero.

$$\mathcal{A} = \begin{pmatrix} C_0[0,1) & C_0[0,1) \\ C_0[0,1) & C[0,1] \end{pmatrix} \subset M_2(C[0,1])$$

It is not hard to check that \mathcal{A} is Morita-Rieffel equivalent to C[0,1]. Since the real rank of C[0,1] is not zero it follows that the real rank of \mathcal{A} is not zero. Moreover, \mathcal{A} does not have an approximate unit consisting of projections. Therefore it can not be an AI algebra. In particular \mathcal{A} is an example of a subhomogeneous C*-algebra which is not homogeneous algebra.

5. Stably isomorphic algebras

Using the complete classification result of I. Stevens [45] for simple AIalgebras we show that the classification of simple stably AI algebras reduces to the classification of hereditary subalgebras of simple AI algebras which are stably isomorphic.

Proposition 5.0.6. Let H_1 and H_2 be two hereditary subalgebras of simple AI-algebras A_1 and respectively A_2 . Then if A_1 and A_2 are not stably isomorphic then H_1 is not isomorphic to H_2 . On the contrary: when A_1 is stably isomorphic to A_2 we obtain that H_1 is stably isomorphic to H_2 .

Proof. Because of simplicity of A_1 and A_2 we get that the hereditary subalgebras H_1 and H_2 are full. Using Brown's result [8] we obtain that H_1 is stably isomorphic to A_1 and H_2 is stably isomorphic to A_2 . As a consequence, if A_1 is not stably isomorphic to A_2 then H_1 is not isomorphic to H_2 . In the other case, when A_1 is stably isomorphic to A_2 we get that H_1 is stably isomorphic to H_2 .

Remark 5.0.19. However, to prove the isomorphism theorem we will not restrict our attention to the class of simple inductive limits of continuous-trace C*-algebras which are Morita-Rieffel equivalent.

6. Spectrum of the building blocks

We start by noticing that the spectrum of a full hereditary sub-C*-algebra of an interval algebra is a compact subset of the spectrum of the interval algebra. Here by full we mean that there are no non-trivial ideals of the interval algebra which contain the hereditary sub-C*-algebra. Given a sub-C*-algebra of a simple unital AI algebra which is the inductive limit of hereditary sub-C*-algebras of interval algebras, in [46] was shown that almost all but finitely many hereditary subalgebras are full, in particular their spectrum is a closed subset of the spectrum of the interval algebra. As a more general result we prove:

Theorem 6.0.20. Let $A = \lim_{\longrightarrow} A_i$ be a simple C^* -algebra, where the maps are assumed to be injective and A_i 's are assumed to be hereditary sub- C^* -algebras of interval algebras. Then A can be realized as the inductive limit of hereditary sub- C^* -algebras of interval algebras such that all but finitely many building blocks have compact spectrum. More precisely the sequence can be chosen with the property that each building block contains a full projection.

Proof. Since A is a simple C*-algebra, by [[4], Corollary 5.2] we obtain that $A \otimes O_2$ contains a non-trivial projection p. Moreover we have

$$A\otimes O_2=\lim(A_i\otimes O_2),$$

and the spectrum of the building blocks remains the same up to isomorphism, $\widehat{A_i \otimes O_2} \cong \widehat{A_i}$.

Next we pull back the projection p at finite stages. Hence we can assume that $p \in A_i \otimes O_2$ for $i \geq j_0$.

Consider the ideal generated by p inside $A_i \otimes O_2$ which we denote by I_i . Then I_i is a non-zero ideal and it corresponds to a compact subset of the spectrum of $A_i \otimes O_2$.

Let $I = \lim_{\stackrel{\longrightarrow}{I_i}} I_i$. Then I is a non-zero ideal of $A \otimes O_2$ which is a simple C*-algebra. Therefore

$$I = A \otimes O_2$$
.

Because O_2 is a simple C*-algebra we have $I_i \cong \hat{I}_i \otimes O_2$ with \hat{I}_i ideal of A_i . Therefore

$$A = \lim \hat{I}_i$$

where each \hat{I}_i is a closed two-sided ideal of the given building block A_i . Moreover by construction the new building blocks $(\hat{I}_i)_i$ contain a full projection and they have compact spectrum.

Remark 6.0.21. A similar proof can be obtained by noticing that $A \otimes \mathbb{K}$ is an AI algebra which contains a non-trivial projection.

Remark 6.0.22. As a consequence of the above theorem, in the isomorphism theorem 2.0.1 we can allow inductive limits of continuous-trace C*-algebras whose spectrum is any locally compact subset of the closed interval [0, 1].

Remark 6.0.23. We also note that for the isomorphism theorem 2.0.1 there is no loss of generality in assuming that the building blocks are full hereditary sub-C*-algebra of interval algebra.

7. The Dimension Function

A very important data that we consider is a map that assigns to each class of irreducible representations, the dimension of a representation from that class. More precisely pick a Hilbert space H such that every irreducible *-representation can be realized in a closed subspace of H.

Definition 7.0.24. Let A be a C*-algebra and with \hat{A} denote the spectrum of A. Then the *dimension function* is a map from \hat{A} to $\mathbb{R} \cup \infty$:

$$\pi \to dim(H_{\pi}),$$

where by $dim(H_{\pi})$ we mean the dimension of the irreducible representation π .

Remark 7.0.25. A closely related definition appears in ([37], pp.197).

Proposition 7.0.7. The dimension function is a lower semicontinuous function.

Proof. See for instance [37], pp.73.

Remark 7.0.26. The dimension function of a commutative algebra takes values in the set $\{0,1\}$.

Remark 7.0.27. Let $d: X \to \mathbb{Z}$ be a lower semicontinuous integer-valued function, where X is a locally compact space. Then in [[52], Theorem 3 and Theorem 4] it is shown by N. B. Vasil'ev how to describe a subhomogeneous C*-algebra whose dimension function is precisely the function d.

Remark 7.0.28. Let A be a subhomogeneous C*-algebra whose dimension function is $d: \hat{A} \to \mathbb{Z}$. There exists a canonical projection-valued function that gives rise to the dimension function d obtained as follows. Let H be a Hilbert space such that every irreducible *-representation can be realized in a closed subspace of H. Next define

$$P^A: \hat{A} \to \mathbf{B}(H),$$

$$P^A(\pi_0) = I_{\pi_0}$$

where $\pi_0 \in \hat{A}$ and by I_{π_0} we denote the unit of $\mathbf{B}(H_{\pi_0})$ which is the canonical projection onto the Hilbert subspace H_{π_0} .

The projection-valued function P^A is a lower semicontinuous function in the following sense:

$$[0,1] \ni \hat{\pi} \longrightarrow < P^A(\hat{\pi})v|v>$$

is a real-valued lower semicontinuous function, where v is a vector in the Hilbert space H.

Remark 7.0.29. Let $P:[0,1] \to \mathbf{B}(H)$ be a lower semicontinuous projection-valued function such that the maximal rank of the projections appearing in the range of P is n. Inside the full matrix algebra $M_n(\mathbb{C}) \otimes C[0,1]$ take all continuous matrix-valued functions which are smaller than P and consider the hereditary sub-C*-algebra of $M_n(\mathbb{C}) \otimes C[0,1]$ that they generate. In this manner we have constructed a hereditary sub-C*-algebra which has the dimension function precisely the given P composed with rank function.

7.1. **Special subalgebras of interval algebras.** Following a terminology introduced in [46] we define:

Definition 7.1.1. A continuous-trace C*-algebra whose spectrum is [0,1] (or a hereditary sub- C^* -algebra of an interval algebra $C[0,1] \otimes F$) is a special continuous-trace C*-algebra (respectively step hereditary sub-C*-algebra) if its dimension function is a finite step function: there is a partition of [0,1] into a finite union of intervals such that the dimension function is constant on each such subinterval.

Definition 7.1.2. A hereditary sub-C*-algebra of an interval algebra is called step hereditary (see [46]) if the unit of the bidual p is a step projection: there is a partition of [0,1] into a finite union of intervals such that p is the same projection on each such subinterval.

Remark 7.1.3. A priori our definition for a step hereditary sub-C*-algebra is more general than I. Stevens's definition. As we will show in this paper, any step hereditary sub-C*-algebra in our sense is isomorphic to a step hereditary sub-C*-algebra in I. Stevens's sense.

8. An isomorphism result for the building blocks

In this section we prove that for a certain class of continuous-trace C*-algebras, the dimension function is a complete invariant.

We start by showing several lemmas that we use in proving the main result of this section:

Lemma 8.0.4. Let $f:[0,1] \to F$ be a lower semicontinuous projection-valued function, where F is a simple finite dimensional C^* -algebra. Assume that all projections appearing in the range of f have the same rank, namely equal to k. Then f is a continuous projection-valued function.

Proof. Consider an arbitrary point t_0 in [0,1] and a sequence $(t_n)_n$ in the interval [0,1] that converge to t_0 .

Since $(f(t_n))_n$ is a sequence inside of the compact set of projections of a fixed rank k we know that there exists a convergent subsequence. Without loss of generality we assume

$$(f(t_n))_{n\in\mathbb{N}}\to e,$$

where e is a projection of rank k.

But f is a lower semicontinuous function and hence

$$f(t_0) \geq e$$
.

Notice that $f(t_0)$ is a projection whose rank is the same as the rank of e. It is known that any two comparable projections with the same rank in a simple finite dimensional C*-algebra must be equal. Therefore:

$$\lim f(t_n) = f(t_0) = e.$$

Hence the function f is continuous.

Lemma 8.0.5. Let $f:[0,1] \to \mathbb{K}$ a lower semicontinuous projection valued function, where \mathbb{K} is the algebra of compact operators. Assume that all projections appearing in the range of f have the same finite rank k. Then there is a continuous function $V:[0,1] \to \mathbb{K}$ with values partial isometries and a projection $p \in \mathbb{K}$ such that

$$V(t)V(t)^* = p, \quad \forall t \in [0, 1],$$

 $V(t)^*V(t) = f(t), \quad \forall t \in [0, 1].$

Proof. Consider a point t_0 in [0,1] and p a projection of rank k. Following ideas of Glimm from [28] for each $t_0 \in [0,1]$ we can find $V(t) \in C[0,1] \otimes \mathbb{K}$ such that $V(t_0)V^*(t_0) = p$, $V^*(t_0)V(t_0) = f(t_0)$ and $||V(t)p(t)V(t)^* - f(t)|| < \frac{1}{2}$ for all t in a neighborhood of t_0 .

Making use of a continuous function $g:[0,1] \to [0,2]$ defined to be zero in a neighborhood of zero and $\frac{1}{t}$ for $t \geq \frac{1}{2}$ we can define a continuous family of partial isometries

$$W(t) = p[g(p(t)V(t)f(t)V(t)^*p]^{1/2}V(t)f(t)V(t)^*$$

such that for all t in a neighborhood of t_0 we have:

$$W(t)W^*(t) = p(t),$$

$$W^*(t)W(t) = f(t).$$

By compactness of K we find a finite open cover such that on each open set there is a continuous family of partial isometries that realize the equivalence of projections f(t) and p and with any open set overlapping with at least another open set. Next we proceed as in [[49], Lemma 2 or [21]] to glue together the families of partial isometries on the overlapping sets. Consequently we obtain the desired family of partial isometries on the connected compact set K. By letting K = [0,1] we complete the proof of the lemma.

Corollary 8.0.6. Let $f:[0,1] \to M_n(\mathbb{C})$ a lower semicontinuous projection valued function. Assume that all projections appearing in the range of f have the same finite rank k. Then there is a continuous function $U:[0,1] \to M_n(\mathbb{C})$ with values unitaries and a projection $p \in M_n(\mathbb{C})$ such that:

$$U(t)U(t)^* = p, \quad \forall t \in [0, 1],$$

$$U(t)^*U(t) = f(t), \quad \forall t \in [0, 1].$$

Proof. Consider a point t_0 in [0,1]. We proceed as in the previous lemma and find an open set V_0 containing t_0 and a continuous family of unitaries $U(t)_{t\in[0,1]}$ such that:

$$U_0(t)f(t)U_0(t)^* = p_0, \quad \forall t \in V.$$

It is possible to find a continuous family of unitaries because in $M_n(\mathbb{C})$ if p and q are two Murray-von Neumann equivalent projections then 1-p and 1-q are another two Murray-von Neumann equivalent projections.

By compactness of [0,1] we find a finite open cover with any open set overlapping with at least another open set and having a similar property as U_0 has above:

$$K \subset \bigcup_{i=1}^{N} V_i$$

Given i there is $j \neq i$ such that: $V_i \cap V_j \neq \emptyset$ and

$$U_i(t)f(t)U_i(t)^* = p_i, \quad \forall t \in V_i$$

To get the desired family of unitaries we "glue" together the unitaries on the overlapping set as follows:

Let $t_1 \in V_i \cap V_j$. If V_i is on the left side of V_i then we modify U_i :

$$U^{ij}(t) = \begin{cases} U_i(t), & t \le t_1 \\ U_i(t_1)U_j(t_1)^*U_j(t), & t > t_1 \end{cases}$$

Notice that p is equal to the projection corresponding to the most left V_i . For instance

$$U^{ij}(t)f(t)(U^{ij}(t))^* = p_i$$
, if $i \le j$ and $t \in V_i \cup V_j$, $V_i \cap V_j \ne \emptyset$.

Lemma 8.0.7. Let $f:[0,1] \to \mathbb{K}$ be a lower semicontinuous projection-valued function, where \mathbb{K} is the algebra of compact operators. Assume that f has constant rank k on $[a,b) \subset [0,1]$. Then there is a continuous function $V:[a,b) \to \mathbb{K}$ with values partial isometries and a projection $p \in \mathbb{K}$ of rank k such that:

$$V(t)(V(t))^* = p \text{ for all } t \in [a, b),$$

$$(V(t))^*V(t) = f(t) \text{ for all } t \in [a, b).$$

Proof. The idea is to approximate the half-open interval with an increasing union of closed (hence compact) subsets. For example:

$$[a,b) = \bigcup_{n=1}^{\infty} [a,b-1/n].$$

By Lemma 8.0.4 on each closed subset we have a continuous family of partial isometries. To extend from a smaller closed interval to a larger one we proceed as in [[28] or [49], Lemma 2 or [21]].

Corollary 8.0.8. Let $f:[0,1] \to M_n(\mathbb{C})$ be a lower semicontinuous projection valued function. Assume that f has constant rank k on $[a,b) \subset [0,1]$. Then there is a continuous function $U:[a,b) \to M_n(\mathbb{C})$ with unitaries values and a projection $p \in M_n(\mathbb{C})$ of rank k such that:

$$U(t)(U(t))^* = p \text{ for all } t \in [a, b)$$
$$(U(t))^*U(t) = f(t) \text{ for all } t \in [a, b).$$

Proof. As in the previous lemma we use

$$[a,b) = \bigcup_{n=1}^{\infty} [a, b - 1/n]$$

By the Corollary 8.0.5 we have families of unitaries on each set of the form [a, b-1/n]. It remains to check that we can extend continuously this family of unitaries from the smaller compact subset to a larger one. For instance consider two compact sets F_1 and F_2 with $F_1 \subset F_2$ and their corresponding unitaries $U_1(t)$, $t \in F_1$ and respectively $U_2(t)$, $t \in F_2$.

Observe that we can order these intervals. Consider t_0 in F_1 not far from the boundary with F_2 . Define

$$U^{1,2}(t) = \begin{cases} U_1(t) & t \in F_1 \text{ and } t \ge t_0 \\ U_1(t_0)U_2(t_0)^*U_2(t) & t < t_0 \end{cases}$$

and hence we get a continuous family of unitaries that have the desired property of the corollary on the set [a,b).

Lemma 8.0.9. Let $f:[0,1] \to \mathbb{K}$ be a lower semicontinuous projection valued map, where \mathbb{K} is the algebra of compact operators. Assume that f has constant rank equal to k on $(a,b) \subset [0,1]$. Then there is a continuous map $V:(a,b) \to \mathbb{K}$ with values partial isometries and a projection $p \in F$ of rank k such that:

$$V(t)(V(t))^* = p \text{ for all } t \in (a, b)$$
$$(V(t))^*V(t) = f(t) \text{ for all } t \in (a, b).$$

Proof. We have

$$(a,b) = \bigcup_{n=1}^{\infty} [a+1/n, b-1/n]$$

and proceed as in the half open interval case, see Lemma 8.0.6, this time extending the family of partial isometries in both directions. \Box

Corollary 8.0.10. Let $f:[0,1] \to M_n(\mathbb{C})$ be a lower semicontinuous projection valued map. Assume that f has constant rank k on $(a,b) \subset [0,1]$. Then there is a continuous map $U:(a,b) \to M_n(\mathbb{C})$ with values unitaries and a projection $p \in M_n(\mathbb{C})$ of rank k such that:

$$U(t)(U(t))^* = p \text{ for all } t \in (a,b),$$

$$(U(t))^*U(t) = f(t) \text{ for all } t \in (a,b).$$

8.1. A decomposition result for the projection-valued functions. In Remark 7.0.27 we associated a lower semicontinuous projection-valued function to the dimension function of any subhomogeneous C*-algebra.

Next we prove that there is a decomposition of such lower semicontinuous projection-valued functions as a sum of simpler lower semicontinuous projection-valued functions. As a consequence of this decomposition we prove that certain continuous-trace C*algebras and in particular certain subalgebras of interval algebras are inductive limits of special subalgebras.

Let A be a separable continuous-trace C*-algebra with spectrum [0,1]. In particular A can be a full hereditary subalgebra of $M_n \otimes C[0,1]$. Clearly $H^3([0,1],\mathbb{Z}) = H^3(\hat{A},\mathbb{Z}) = 0$. As a consequence of the Dixmier-Douady classification (cf. [16]) we get that A is stably isomorphic to C[0,1]. Moreover there exists $a:[0,1] \to \mathbb{K}$ such that $a \in A$ and a(t) is a rank one projection for all t in [0,1]. We notice that A can be realized as a hereditary sub-C*-algebra of $\mathbb{K} \otimes C[0,1]$.

We begin with two lemmas:

Lemma 8.1.1. Let $p:[0,1] \to M_n$ be a lower semicontinuous projection-valued function that if composed with the rank function gives rise to the dimension function of a hereditary subalgebra A of $M_n \otimes C[0,1]$. Assume p has constant rank equal k on some open interval $(a,b) \subset [0,1]$ and $k \geq 1$. Then there exist a lower semicontinuous projection-valued function $q:[0,1] \to M_n$ such that:

- (1) q(t) is a projection of rank 1 for all $t \in (a,b)$ and zero otherwise;
- (2) $p(t) \ge q(t)$ for all $t \in (a, b)$.

Proof. We know that p(t) has constant rank equal k on (a,b). By Lemma 8.0.8 there exists a continuous family of unitaries $U:(a,b)\to M_n(\mathbb{C})$ such that:

$$U(t)p(t)U(t)^* = P(t) = P$$
, for $t \in (a, b)$.

Because A is a continuous trace C^* -algebra with spectrum [0,1], there exists $a:[0,1]\to M_n$ such that $a\in A$ and a(t) is a rank one projection for all t in [0,1]. Therefore for any $t\in (a,b)$ we have $\operatorname{rank}(a(t))=1\leq \operatorname{rank}(P(t))$. Thus there exists a family of unitaries $V:(a,b)\to M_n(\mathbb{C})$ such that $V(t)a(t)V(t)^*\leq P(t)=P$.

Define q(t) to be equal to $U(t)^*V(t)a(t)V(t)^*U(t)$ for $t \in (a,b)$ and equal to zero otherwise to get the desired conclusion of the lemma.

Lemma 8.1.2. Let $p:[0,1] \to M_n$ be a lower semicontinuous projection-valued function that if composed with the rank function gives rise to the dimension function of a hereditary subalgebra A of $M_n(\mathbb{C}) \otimes C[0,1]$. Assume p has maximal rank equal k on some open interval $(a,b) \subset [0,1]$ and $k \geq 1$. Then there exist a lower semicontinuous projection-valued function $q:[0,1] \to M_n(\mathbb{C})$ such that:

- (1) q(t) is a projection of rank 1 for all $t \in (a,b)$ and zero otherwise;
- (2) p(t) q(t) is a lower semicontinuous projection-valued function.

Proof. Because p(t) is a lower semicontinuous projection-valued function and a, b are points of discontinuity we have:

$$rank(p(a)) < rank(p(t)) = k, t \in (a, b);$$

$$rank(p(b)) < rank(p(t)) = k, \ t \in (a, b).$$

Next we choose two projections of rank 1, p_a and p_b , such that p_a is orthogonal to p(a) and p_b is orthogonal to p(b). Moreover p_a and p_b are homotopic equivalent. Therefore we can find a path of rank 1 projections $a(t)_{t \in (a,b)}$ connecting p_a and p_b . Now we proceed as in the previous lemma 8.1.1 and modify the path a(t) to get q(t) with properties that $q(t) \leq p(t)$ and rank(q(t)) = 1 for all $t \in (a,b)$, zero otherwise. Furthermore p(t) - q(t) is a lower semicontinuous projection-valued function as desired.

Theorem 8.1.3. Let $p:[0,1] \to M_n(\mathbb{C})$ be a lower semicontinuous projection-valued function which comes from the dimension function of a hereditary

subalgebra A of $C[0,1] \otimes M_n(\mathbb{C})$. Then p is a sum of special lower semicontinuous projection-valued functions, where by special we mean that all projections in the range of the functions have rank at most 1.

Proof. Let $A_k := \{t \in [0,1] \mid \operatorname{rank}(p(t)) = k\}$ where k is the maximum value of the rank for the projections in the range of p. Because p is a lower semicontinuous function we get that A_k is an open subset of [0,1]. Therefore A_k is a countable disjoint union of $(I_i)_i$, where each I_i is an open interval. Next we apply Lemma 8.1.1 to get a lower semicontinuous projection-valued function $p_1 : [0,1] \to M_n(\mathbb{C})$ which is a rank 1 projection on the union of $(I_i)_i$ and zero on the complement. In other words $p_1(t)$ is zero for t not in A_k but in [0,1].

By the lemma 8.1.1 $p(t) - p_1(t)$ is a lower semicontinuous projection-valued function. Moreover the maximum value of the rank of projections appearing in the range of $p(t) - p_1(t)$ is at most k - 1.

Next repeat the argument with $p(t) - p_1(t)$ instead of p(t) to get another lower semicontinuous projection valued function $p_2(t)$ which has values projections of rank one precisely for the set

$$A_{k-1} = \{t \in [0,1] : \operatorname{rank}(p(t) - p_1(t)) = k - 1\}.$$

Because p(t) has rank k precisely on A_k and p_1 is zero on the complement of A_k we have $A_k \subset A_{k-1}$. Continuing in this way, after at most k steps we obtain a decomposition for p(t) as desired:

$$p = \sum_{i=1}^{k} p_i$$

where each p_i is zero except an open set A_i where it takes values projections of rank 1. Moreover for any $i \in \{1, ..., k-1\}$ we have $A_{i+1} \subset A_i$.

We obtain a similar decomposition result for certain dimension functions:

Proposition 8.1.1. Any lower semicontinuous integer-valued function is a sum of lower semicontinuous functions whose values are either 0 or 1.

This decomposition is unique if we ask for the partial sums to form an increasing sequence.

Proof. We know that the dimension function (which assign to each point in the spectrum the dimension of that irreducible representation) is a lower semicontinuous positive, integer-valued function and bounded above by the highest dimensions of the irreducible representations of the given algebra.

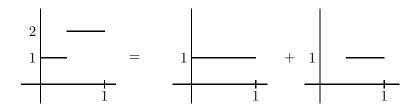
Notice that the maximum value of the dimension function is taken on an open subset of [0,1]. This is an important fact which is fundamental to obtain our decomposition.

Consider the step function which takes the value 1 on the open set where the given lower semicontinuous map takes its maximum value. It is easy to see that this step function is lower semicontinuous and the difference between the dimension function and the just defined step function is lower semicontinuous. Moreover this new lower semicontinuous map has positive values and a smaller maximum value than the maximum of the previous function. Repeat this argument until we get a function with maximum 1. We conclude that the original function is a sum of lower semicontinuous functions that are characteristic functions on some open subsets of [0,1] (i.e. they take values either 0 or 1).

Notice that the characteristic sets of the above sequence of step functions increases as we decrease the maximum value of the dimension function.

Remark 8.1.4. The dimension function of a full hereditary subalgebra takes values bigger than 1. This in turn implies that the last function in the decomposition is 1 everywhere on [0,1].

A simple example of the decomposition given by the Proposition 8.1.4:



The following lemma is used to prove the main result of this section:

Lemma 8.1.5. Let A be a C^* -algebra and a an element of A. Then the hereditary sub- C^* -algebra generated by a^*a is isomorphic to the hereditary sub- C^* -algebra generated by aa^* .

Proof. Denote with H_1 and H_2 the hereditary C*-subalgebra generated by a^*a and respectively aa^* :

$$H_1 = \overline{a^* a A a^* a}, \ H_2 = \overline{a a^* A a a^*}.$$

Consider the polar decomposition $a = v(a^*a)^{1/2} = (aa^*)^{1/2}v$ and observe that we also have:

$$H_1 = \overline{(a^*a)^{1/2}A(a^*a)^{1/2}}, \ \ H_2 = \overline{(aa^*)^{1/2}A(aa^*)^{1/2}}.$$

Now define two maps:

$$\begin{split} \phi: (aa^*)^{1/2} A (aa^*)^{1/2} &\to (a^*a)^{1/2} A (a^*a)^{1/2} \\ \phi(b) &= v^*bv, \\ \psi: (a^*a)^{1/2} A (a^*a)^{1/2} &\to (aa^*)^{1/2} A (aa^*)^{1/2} \\ \psi(c) &= vcv^*. \end{split}$$

We use $v^*(aa^*)^{1/2}=(a^*a)^{1/2}v^*$, $(aa^*)^{1/2}v=v(a^*a)^{1/2}$ to see that ϕ and ψ are maps between the specified sets:

$$\phi((aa^*)^{1/2}m(aa^*)^{1/2}) = v^*(aa^*)^{1/2}m(aa^*)^{1/2}v =$$

$$= (a^*a)^{1/2}v^*mv(a^*a)^{1/2} \in (a^*a)^{1/2}A(a^*a)^{1/2}, \ m \in A.$$

And similarly for ψ .

To show that ϕ and ψ are *-homomorphisms and their composition is identity we use that v^*v and vv^* are projections on the ranges of $(a^*a)^{1/2}$ and respectively $(aa^*)^{1/2}$:

$$\phi(b_1b_2) = \phi((aa^*)^{1/2}m_1(aa^*)^{1/2}(aa^*)^{1/2}m_2(aa^*)^{1/2}) =$$

$$= v^*(aa^*)^{1/2}m_1(aa^*)^{1/2}(aa^*)^{1/2}m_2(aa^*)^{1/2}v =$$

$$= v^*(aa^*)^{1/2}m_1(aa^*)^{1/2}vv^*(aa^*)^{1/2}m_2(aa^*)^{1/2}v =$$

$$= \phi(b_1)\phi(b_2),$$

$$\psi(\phi(b)) = \psi(v^*bv) = vv^*bvv^* = b,$$
where $b_i = (aa^*)^{1/2}m_i(aa^*)^{1/2}, \ i \in \{1, 2\}, \ m_i \in A.$

$$\phi(\psi(c)) = \phi(vcv^*) = v^*vcv^*v = c,$$

with $c_j = a^* a m_j a^* a$, $j \in \{1, 2\}$, $m_j \in B$.

Finally we extend the isomorphism to the closure of the subalgebras to obtain the desired isomorphism.

Theorem 8.1.6. Let A and B be two full hereditary sub- C^* -algebras of $C[0,1] \otimes \mathbb{K}$, with the same dimension function f, where we also keep track of the identification of the spectrum of the algebras A and B. Then there is an isomorphism between A and B which preserves the identification of the spectrum.

Proof. Let P^A and P^B be the two lower semicontinuous projection-valued functions that gives rise to the dimension function f (see Remark 7.0.21). Because the dimension function is the same we have

$$\operatorname{rank}(P^A(t)) = \operatorname{rank}(P^B(t)) \ \text{ for all } t \in [0, 1].$$

The idea of the the proof is to construct a partial isometry V such that $V^*V = P^A$ and $VV^* = P^B$ and to apply the Lemma 8.1.6.

We begin by applying Theorem 8.1.3 to get a decomposition of the lower semicontinuous functions

$$P^A = \sum_{i=1}^{N} P_i^A,$$

$$P^B = \sum_{i=1}^{N} P_i^B.$$

Note that N is the maximum value of the dimension function. Moreover for each $i \in \{1, ..., N\}$, P_i^A and P_i^B are zero except the same subset S_i of [0, 1]. For $t \in S_i$ we have

$$rank(P_i^A(t)) = rank(P_i^B(t)) = 1.$$

As shown by Glimm in [28] for each $t_0 \in [0,1]$ we can find $W_i(t) \in C[0,1] \otimes \mathbb{K}$ such that $W_i(t_0)W^*(t_0)_i = P_i^A(t_0), W_i^*(t_0)W(t_0)_i = P_i^B(t_0)$ and $||W_i(t)P^A(t)W_i(t)^* - P^B(t)|| < \frac{1}{2}$ for all t in a neighborhood of t_0 .

Making use of a continuous function $g:[0,1] \to [0,2]$ defined to be zero in a neighborhood of zero and $\frac{1}{t}$ for $t \geq \frac{1}{2}$ we can define a continuous family of partial isometries

$$\widehat{W}_i(t) = P^A(t)[g(P^A(t)W_i(t)P^B(t)W_i(t)^*P^A(t)]^{1/2}W_i(t)P^B(t)W_i(t)^*$$

such that for all t in a neighborhood of t_0 we have:

$$\widehat{W}_i(t)\widehat{W}_i^*(t) = P_i^A(t)$$

$$\widehat{W}_i^*(t)\widehat{W}_i(t) = P_i^B(t).$$

As in the Lemma 8.0.4 we extend the partial isometry on each subinterval of the set S_i . Therefore for each open interval $I \subseteq S_i$ we find a family of partial isometries $(V_i(t))_{t \in I}$ such that $V_i(t)V_i^*(t) = P_i^A(t)$, $V_i^*(t)V_i(t) = P_i^B(t)$. For $t \in [0,1]$ but not in S_i we let $V_i(t) = 0$. Notice that for any $i \in \{1,\ldots,N\}$ we have:

$$V_i(t)V_i^*(t) = P_i^A(t), \ \forall t \in [0, 1],$$

 $V_i^*(t)V_i(t) = P_i^B(t), \ \forall t \in [0, 1].$

Let $t \in [0,1]$. Define

$$V(t) = \sum_{i=1}^{N} V_i(t).$$

In this way we get a family of partial isometries $V(t)_{t\in[0,1]}$ such that $V(t)V(t)^* = P^B(t)$ and $V(t)^*V(t) = P^A(t)$ for all $t\in[0,1]$. We notice that the continuity of a function a(t), such that $P^A(t)a(t) = a(t)$ implies the continuity of $V(t)a(t)V(t)^*$. It is a continuous function because $V_ia(t)$ is continuous for any $i\in\{1,\ldots,N\}$. Moreover we have the following polar decomposition: $V(t) = V(t)(V(t)^*V(t))^{1/2}$.

Now we apply Lemma 8.1.6 with V(t) instead of a to obtain the desired isomorphism:

$$A \cong B$$
.

Corollary 8.1.7. Let A and B be two continuous-trace sub-C*-algebras of $C[0,1] \otimes M_n$, with the same spectrum the set [0,1] and the same finite step function dimension function, where we also keep track of the identification of the spectrum of the interval algebra. Then there is an isomorphism between A and B.

Proof. The idea of the proof is to reduce the problem to the case of full hereditary subalgebras.

Since $H^3([0,1], Z) = 0$ and [0,1] is the spectrum of continuous-trace sub-C*-algebras A and B, by the Dixmier-Douady classification ([16]), we get

that both A and B are stably isomorphic to C[0,1]. Therefore A and B can be realized as full hereditary subalgebras of $C[0,1] \otimes \mathbb{K}$.

To get the isomorphism we apply Theorem 8.1.7.

As a consequence of the Theorem 8.1.7 we prove the following approximation result:

Theorem 8.1.8. Any hereditary sub- C^* -algebra of an interval algebra can be realized as the closure of an increasing union of step hereditary sub- C^* -algebras.

Proof. By Theorem 8.1.7 there is a one to one correspondence between hereditary subalgebras (considered up to isomorphism) and lower semicontinuous projection-valued functions. Therefore to prove the result it is enough to approximate a general lower semicontinuous projection-valued function with an increasing sequence of finite step function lower semicontinuous projection-valued functions.

Let $f:[0,1] \to M_n$ be a lower semicontinuous projection-valued function. By the Theorem 8.1.3 we get that

$$f = \sum_{i=1}^{N} f_i$$

where each f_i is a lower semicontinuous projection-valued function, with all projections in the range of rank at most 1.

Recall that any open subset of [0,1] can be approximated with the increasing union of finitely many open intervals. Therefore each f_i can be approximated with finite step function projection-valued function:

$$f_i = \lim_n f_i^n$$

Then

$$f = \lim_{n} \sum_{i=1}^{N} f_i^n,$$

where each

$$\sum_{i=1}^{N} f_i^n$$

is a finite step function projection-valued function.

The hereditary sub-C*-algebras generated by

$$\sum_{i=1}^{N} f_i^n$$

define the desired approximation.

Corollary 8.1.9. Any continuous-trace C^* -algebras whose spectrum is [0,1] can be realized as the closure of an increasing union of special continuous-trace C^* -algebras, special in the sense that their dimension function is a finite step function.

9. C*-algebras with a finite presentation and stable relations

It is important to know that certain algebras are finitely presented and have stable relations because we can modify maps which are close to be *-homomorphisms into exact *-homomorphisms.

We are particularly interested in so called weakly stable relations. Roughly speaking, weak stability means that close to an approximate representation there is an exact representation, in any C*-algebra. Formally, we have the following definition given by Loring in [35]:

Definition 9.0.10. Suppose A is a finitely presented C*-algebra: $A = C^* < G, R >$. If, for every $\delta > 0$, there exists $\epsilon > 0$ and

$$\sigma_{\epsilon}: C^* < G, R > \to C^*_{\epsilon} < G, R >$$

such that

$$||\sigma_{\epsilon} \circ P_{\epsilon}(g_i^{\epsilon}) - g_i^{\epsilon}|| \le \delta$$

then the set of relations R is called weakly stable.

Remark 9.0.11. If, in addition in the above definition, $P_{\epsilon} \circ \sigma_{\epsilon} = id$, via a homotopy ψ , such that

$$||\psi_t(g_j) - g_j|| \le \delta$$

then R is called strongly stable. If in fact $P_{\epsilon} \circ \sigma_{\epsilon} = id$, then R is exactly stable.

10. Special continuous-trace C*-algebras are finitely presented and have stable relations

Our goal in this section is to present a procedure to obtain a complete finite set of generators and a complete finite set of relations for special sub-C*-algebras of interval algebras.

Let A be a full step-hereditary subalgebra of $M_n \otimes C[0,1]$ with f its finite step dimension function.

The idea is to construct another concrete hereditary subalgebra of $M_n \otimes C[0,1]$, isomorphic to A, the advantage being that for this newly constructed algebra it is easy to write a finite set of generators.

By Proposition 8.1.1 the dimension function has the decomposition:

$$f = \sum_{i=1}^{N} f_i$$

where f_i is the characteristic function of some open set A_i of [0,1] and each A_i is a finite disjoint union of open intervals. We also have $A_i \subseteq A_{i+1}$.

Next we construct a concrete hereditary sub-C*-algebra of $M_n \otimes C[0,1]$ with the dimension function equal to f.

The hereditary sub- C^* -algebra H generated by the block diagonal form:

$$\begin{pmatrix} C_0(A_1) & 0 \\ & C_0(A_2) & \\ & & \ddots \\ 0 & & C[0,1] \end{pmatrix} \subseteq M_n \otimes C[0,1]$$

has the dimension function $f = \sum_{i=1}^{N} f_i$.

We notice that all continuous-trace C*-algebras with spectra the closed interval [0, 1] and all irreducible representations finite dimensional are isomorphic to one of the very special form namely diagonal generated form and that for any dimension function we can construct such a model.

Recall that a hereditary sub-C*-algebra H of some C*-algebra M has the property: whenever $x \in M$, $xx^* \in H$ and $x^*x \in H$ then $x \in H$.

Therefore we get that the concrete hereditary sub-C*-algebra H contains the following sub-C*-algebra B:

$$\begin{pmatrix} C_0(A_1) & C_0(A_1) & C_0(A_1) & \dots & C_0(A_1) \\ C_0(A_1) & C_0(A_2) & C_0(A_2) & \dots & C_0(A_2) \\ C_0(A_1) & C_0(A_2) & C_0(A_3) & \dots & C_0(A_3) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ C_0(A_1) & C_0(A_2) & C_0(A_3) & \dots & C[0,1] \end{pmatrix} \subseteq M_n \otimes C[0,1].$$

We notice that subalgebra B is a hereditary subalgebra of $M_n \otimes C[0,1]$:

$$b_1ab_2 \in B$$
 whenever $b_1, b_2 \in B$, $a \in M_n \otimes C[0, 1]$.

Therefore B = H.

Moreover since the dimension function of H is f, by Theorem 8.1.7 we get:

$$A \cong H$$
.

10.1. Finding a finite set of generators for the concrete algebra. We consider the subset of elements with nonzero entries on the last row:

$$\begin{pmatrix} 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ C_0(A_1) & C_0(A_2) & C_0(A_3) & \dots & C[0, 1] \end{pmatrix} \subseteq M_n \otimes C[0, 1].$$

For the first block entry $C_0(A_1)$ we consider the following matrix valued functions:

$$f_{n1}^1 \otimes e_{n1}, f_{n1}^2 \otimes e_{n1}, \dots, f_{n1}^{c_1} \otimes e_{n1}$$

where by c_1 we denote the number of connected components of the open set A_1 , f_{n1}^i are non-zero functions on the corresponding open intervals of A_1 and e_{n1} is a matrix unit.

For instance if an open interval is (a,b) we consider the function $t \to |e^{2\pi i \frac{t-a}{b-a}} - 1|$ defined on (a,b). Notice that this function is zero at the endpoints of the support set.

We may have half-open intervals at the end points of the intervals: [0, a) or (b, 1] in which case we consider the function f(t) = a - t respectively f(t) = t - b.

For the second block $C_0(A_2)$ on the last row we get:

$$f_{n2}^1 \otimes e_{n2}, f_{n2}^2 \otimes e_{n2}, \dots, f_{n2}^{c_2} \otimes e_{n2}$$

where f_{n2}^i are non-zero functions on the corresponding open intervals of A_2 and e_{n2} is a matrix unit. As before c_2 is the number of connected components of A_2 .

Continuing this procedure we obtain

$$f_{ni}^1 \otimes e_{ni}, f_{ni}^2 \otimes e_{ni}, \dots, f_{ni}^{c_i} \otimes e_{ni}, \text{ for } i \in \{1, \dots, n-1\},$$

where by c_i we denote the number of connected components of the open sets A_i appearing in the decomposition.

For the last block entry C[0,1] we consider:

$$f_{nn} \otimes e_{nn}, \mathbf{1} \otimes e_{nn}.$$

Note that $f_{nn}^{c_n} = t$, $c_n = 1$ and $\mathbf{1}(t) = 1$ for all $t \in [0, 1]$.

We observe that $(1) \otimes e_{nn}$ is a full abelian projection.

This proposed set of generators is finite because the sets A_i are finite union of open intervals.

In order to see that the proposed set of elements generates the concrete algebra we use the Kaplansky's Stone-Weierstrass Theorem (see [16]). In other words we prove that the C^* -algebra generated by the proposed set of elements separates points of the set of all pure states of A together with 0.

For instance let ϕ and ψ be two pure states of the C*-algebra A. Now irreducible representations of A are evaluations at points in the interval [0,1]. Assume that the pure state ϕ corresponds to the irreducible representation (π_{ϕ}, H_{ϕ}) which is an evaluation at the point $t_{\phi} \in [0,1]$ and the pure state ψ corresponds to the irreducible representation (π_{ψ}, H_{ψ}) which is an evaluation at the point $t_{\psi} \in [0,1]$. If $dimH_{\phi} > dimH_{\psi}$ then the two states are separated by an element of the proposed set of generators which at t_{ϕ} is not zero. For the case when $dimH_{\phi} = dimH_{\psi}$ we observe that $t(f_{nj}^i \otimes e_{nj})$ belongs to the C*-algebra generated by the proposed set of generators. This observation can be verified either by using the Dauns-Hofmann theorem or by doing some algebra computations. As a consequence of the above observation we have that if ϕ and ψ are not separated by the proposed set of generators then they are separated by some $t(f_{nj}^i \otimes e_{nj})$. Moreover this also shows the separation between 0 and any other pure state of A.

10.2. Finding a finite set of abstract generators and relations. We show that a step-hereditary sub- C^* -algebra A can be described as a universal C^* -algebra generated by a finite set of generators that satisfy a finite set

of relations. We notice that there are relations which are neither norm conditions nor *-polynomials relations.

In the previous paragraph we found a concrete description of the algebra A in terms of the boundary conditions, the advantage being that we recognize an explicit finite set of concrete generators. Thus the cardinal of the set of "abstract generators" is known.

By what we said above we get the following set of abstract generators:

$$G = \{P, X_i^k, i \in \{1, \dots n\}, n \ge 2 \ k \in \{1 \dots c_i\}\}.$$

Assume that A_i^k are open subsets of [0,1], $i \in \{1,\ldots n\}$, $k \in \{1,\ldots c_i\}$ such that $c_n = 1$, $A_n = [0,1]$ and $\bigcup_{i=1}^{c_i} A_i^k \subseteq \bigcup_{i=1}^{c_{i+1}} A_{i+1}^k$ for all $i \in \{1,\ldots n\}$.

By working with the concrete generators we find the following groups of relations.

A first group of relations is:

$$(R_1): P = P^* = P^2, ||X_i^k|| \le 2$$

 $||X_n^{c_n}|| \le 1.$

A second group of relations is:

$$(R_2): X_i^k X_j^l = 0, , i, j \in \{1, \dots n - 1\}, k \in \{1, \dots c_i\}, l \in \{1, \dots c_j\}$$

$$X_i^k (X_j^l)^* = 0, i \neq j, i, j \in \{1, \dots n - 1\}, k \in \{1, \dots c_i\}, l \in \{1, \dots c_j\}$$

$$X_i^k (X_i^l)^* = 0, i \in \{1, \dots n - 1\}, k \neq l, k, l \in \{1, \dots c_i\}$$

$$PX_i^k = X_i^k \text{ and } X_i^k P = 0, i \in \{1, \dots n - 1\}, k \in \{1, \dots c_i\}$$

$$PX_n = X_n P = X_n$$

$$0 \leq X_n \leq 1.$$

Proposition 10.2.1. There is an isomorphism

$$C^* < G|R_1 \cup R_2 \cup R_3 > \cong A$$

sending

$$X_i^k \mapsto f_i^k(t) \otimes e_{ni}, \ i \in \{1, \dots n\}, k \in \{1, \dots c_i\}$$

 $P \mapsto \mathbf{1} \otimes e_{nn}.$

Proof. We shall construct the universal C*-algebra in the following way (cf. [36]). Denote with $R_{||*||}$ the norm relations $\{||X_1|| \le 1, ||X_k^i \le 2\}$; with R_p the set of *-polynomials relations; and with R_f the set of relations of the form f(x) = y. Then

$$C^* < G|R_{||_*||} > = F_n$$

where n is the number of generators and

$$C^* < G|R_{||*||} \cup R_p >= F_n/I$$

where I is the ideal generated by the *-polynomials in R_p . Now in F_n/I the elements of R_f all make sense, so we let J equal the ideal generated by R_f and set

$$C^* < G|R_1 \cup R_2 \cup R_3 > = C^* < G|R_{||*||} \cup R_p \cup R_f > = (F_N/I)/J.$$

The universality condition implies that there exists a surjective map

$$C^* < G|R_1 \cup R_2 \cup R_3 > \to A$$

that we show is an isomorphism.

Since $PX_i^k = X_i^k$, for all $i \in \{1, ..., n\}, k \in \{1, ..., c_i\}$ it follows that P is a full projection.

Making use of relations (R_2) we obtain that P is an abelian projection:

$$PX_{i_1}^{k_1}X_{i_2}^{k_2}\dots X_{i_m}^{k_m}P=0$$

if there are no adjoints of the generators appearing in the product. Moreover to get nonzero such products of generators we have to have:

$$PX_{i_1}^{k_1}(X_{i_1}^{k_1})^*X_{i_2}^{k_2}(X_{i_2}^{k_2})^*\dots X_{i_m}^{k_m}(X_{i_m}^{k_m})^*P = \\ PX_{i_1}^{k_1}(X_{i_1}^{k_1})^*PPX_{i_2}^{k_2}(X_{i_2}^{k_2})^*P\dots PX_{i_m}^{k_m}(X_{i_m}^{k_m})^*P = \\ f_{i_1}^{k_1}(X_n)f_{i_2}^{k_2}(X_n)\dots f_{i_m}^{k_m}(X_n) \in C^*(X_n, P) \cong C[0, 1]$$

By the above relations we have that the spectrum of the universal C^* -algebra is a subset of the set [0,1].

In order to have the desired isomorphism we still have to prove that for any $\alpha \in [0,1]$ there is a corresponding irreducible representation π_{α} of the set G. We construct π_{α} as follows

$$P \mapsto \mathbf{1} \otimes e_{nn},$$

$$X_i^k \mapsto \sqrt{f_i^k(\alpha)} \otimes e_{ni}, \quad i \in \{1, \dots n\}, k \in \{1, \dots c_i\}$$

and the Hilbert space H_{α} is \mathbb{C}^n . In checking that π_{α} is irreducible we distinguish the following cases: if $\alpha \in A_1^k$ then $dim H_{\alpha} = n$, where by A_1^k is the k-th connected component of A_1 . Notice that the closure of A_1^k is the support of f_{n1}^k . In the case that $\alpha \in A_{i_0}^k$ but $\alpha \notin A_{i_0-1}^k$ we obtain an irreducible representation of dimension $n-i_0+1$ because $\sqrt{f_{ni}^k(\alpha)}=0$ which implies X_i^k are sent to 0 for $i < i_0$.

Remark 10.2.1. The above proof shows that the norm relations $||X_i^k|| \le 2$ are redundant except the norm relation $||X_n|| \le 1$.

Now that we have identified the universal C*-algebra for the set of generators G and relations $R_1 \cup R_2 \cup R_3$, the next step is to prove that these relations are stable relations. We start with two lemmas:

Lemma 10.2.2. For every ϵ , there is a δ such that, given operators x_1, x_2, \ldots, x_n, p in a C^* -algebra A with relations R_1 and R_2 satisfied within δ , there exist operators $\widehat{x_1}, \widehat{x_2}, \ldots, \widehat{x_n}, \widehat{p}$ in A, which exactly satisfy the relations $R_1, R_2,$ and $||x_i - \widehat{x_i}|| \le \epsilon, i \in \{1, \ldots, n\}, ||p - \widehat{p}|| \le \epsilon.$

Proof. First we perturb p like in [36] (Lemma 4.2.2) to obtain a projection \hat{p} close to p.

Next define $\widehat{x}_i = \widehat{p}x_i(1-\widehat{p})$, $i \in \{1, \dots, n-1\}$ and $\widehat{x}_n = \widehat{p}x_n\widehat{p}$. It is easy to see that all relations R_1 and R_2 are satisfied and:

$$||x_{i} - \hat{x_{i}}|| = ||x_{i} - \hat{p}x_{i}(1 - \hat{p})|| =$$

$$= ||x_{i} - px_{i}(1 - p) + px_{i}(1 - p) - \hat{p}x_{i}(1 - p) + \hat{p}x_{i}(1 - p) - \hat{p}x_{i}(1 - \hat{p})|| \le$$

$$\leq ||x_{i} - px_{i}(1 - p)|| + ||\hat{p} - p|| ||x_{i}|| ||1 - p|| +$$

$$+||p - \hat{p}|| ||x_{i}|| ||(1 - p) - (1 - \hat{p})|| \le$$

$$\leq ||x_{i} - px_{i}|| + ||px_{i}p|| + 2\delta + 2(\delta)^{2} \le$$

$$\leq \delta + \delta + 2\delta + 2(\delta)^{2} \le \epsilon.$$

for suitable δ . Also

$$||x_{n} - \widehat{x_{n}}|| = ||x_{n} - pX_{n}p + px_{n}p - \widehat{p}x_{n}p + \widehat{p}x_{n}p - \widehat{p}x\widehat{p}|| \le$$

$$\le ||x_{n} - px_{n}|| + ||p|| ||x_{n} - x_{n}p|| + ||p - \widehat{p}|| ||x_{n}|| ||p|| + ||\widehat{p}|| ||x_{n}|| ||p - \widehat{p}|| \le$$

$$< \delta + \delta + 2\delta + 2\delta < \epsilon.$$

By choosing δ sufficiently small we get that all the perturbations $\hat{x_i}$ are as small as needed.

Lemma 10.2.3. The non-polynomial relation $xx^* = f(y)$, where f is a continuous positive function on [0,1], together with $||x|| \le 2$ and $0 \le y \le 1$ is a stable relation:

For all $\epsilon \geq 0$ there exists $\delta \geq 0$ such that given two elements x and y in a C^* -algebra A with $||xx^* - f(y)|| \leq \delta$, $||x|| \leq 2$ and $0 \leq y \leq 1$, there exists \hat{x} and \hat{y} in the C^* -algebra A such that:

$$||x - \hat{x}|| \le \epsilon$$
, $||y - \hat{y}|| \le \epsilon$ and $\hat{x}(\hat{x})^* = f(\hat{y})$

Proof. Let (a_n) be an approximate unit of the hereditary C*-algebra generated by f(y). Then the following equality holds: $\lim_{\longrightarrow} a_n f(y) a_n = f(y)$. Thus there is some N_{ϵ} such that for $n \geq N_{\epsilon}$ we have

$$|a_n f(y)a_n - f(y)| < \delta.$$

Now we can change slightly x so that xx^* belongs to the hereditary C*-algebra generated by f(y):

$$\hat{x} = a_n x, \ n > N_{\epsilon}.$$

Clearly $(\hat{x}\hat{x})^*$ belongs to the hereditary algebra generated by f(y) and

$$||(\hat{x}\hat{x})^* - f(y)|| = ||a_n x x^* a_n - f(y)|| \le$$

$$\le ||a_n x x^* a_n - a_n f(y) a_n|| + ||a_n f(y) a_n - f(y)|| \le \delta + \delta$$

Choose a spectral projection q of f(y) such that $a_nq=q$ for sufficiently large n.

Since xx^* is close to f(y) we have:

$$xx^* \ge f(y) - \delta.$$

It follows that

$$a_n x x^* a_n \ge a_n f(y) a_n - \delta(a_n)^2$$
.

On the other hand there exists M > 0 such that:

$$a_n f(y) a_n \ge M(a_n)^2$$
.

We conclude that

$$(\hat{x}\hat{x})^* = a_n x x^* a_n \ge (M - \delta)(a_n)^2 \ge (M - \delta)(a_n)^2 q = (M - \delta)q.$$

Hence we can find a continuous function g which is not zero on the support of the spectral projection q, g(y) is close to y and moreover f(g(y)) is an element of the hereditary C*-algebra generated by $(\hat{x}\hat{x})^*$.

Let $\hat{y} = g(y)$ and $\hat{x} = vf(\hat{y})^{1/2}$ where v is a partial isometry that appears in the polar decomposition of \hat{x} , $\hat{x} = v((\hat{x}\hat{x})^*)^{1/2}$.

Then \hat{x} and \hat{y} satisfy all the desired conditions.

We now prove the main stability result of this section:

Theorem 10.2.4. Special continuous-trace C^* -algebras have stable relations.

Proof. The proof is an application of the previous lemmas: first apply Lemma 9.2.3 to fix the relations $R_1 \cup R_2$. Next apply Lemma 9.2.4 for each relation from R_3 . Note that x_n in Lemma 9.2.3 is thought as being y in Lemma 9.2.4. After we apply Lemma 9.2.4 we still have the relations from Lemma 9.2.3 exactly satisfied because \hat{p} commutes with y.

Corollary 10.2.5. Full step hereditary sub- C^* -algebras of interval algebras have stable relations.

11. Inductive limits of special algebras

Now we are ready to show that the inductive limit of continuous-trace C^* -algebras with spectra [0,1] is isomorphic to the inductive limit of special continuous-trace C^* -algebras.

We start by proving a more abstract result. Denote with C the class of algebras which have finite presentation and stable relations. Next consider two classes: the first one, C', is the class of inductive limits of sequences of algebras from C and the second one, C'' is the smallest class of algebras containing C and is closed under taking inductive limits. Then we have the following theorem:

Theorem 11.0.6. The class of algebras C' and the class of algebras C'' are equal:

$$C' = C''$$

Proof. First we notice that one inclusion is obvious, namely $C' \subset C''$. The other inclusion is an application of Loring's theorem. We apply the theorem several times, depending on how many inductive limits operations we need

to consider in order to obtain a given algebra in C''. For clarity we reproduce the Loring's theorem below:

([35], **Theorem 3.8**): Suppose A is a C*-algebra containing a (not necessarily nested) sequence of sub-C*-algebras A_n with the property: for all $\epsilon > 0$ and for any finite number of elements x_1, \ldots, x_k of A, there exist an integer n such that:

$$\{x_1,\ldots,x_k\}\subset_{\epsilon} A_n.$$

If each $A_n = C^* < G_n, R_n >$, for all n, and R_n is weakly stable, then

$$A \cong \lim_{\stackrel{\longrightarrow}{}} (A_{n_k}, \gamma_k)$$

for some subsequence, and some connecting maps $\gamma_k:A_{n_k}\to A_{n_{k+1}}$. To see how the maps $\gamma_k:A_{n_k}\to A_{n_{k+1}}$ are defined we notice, as it was shown in [36], that whenever we have a *-homomorphism $\gamma:A\to B$ and C a sub-C*-algebra of B such that C almost contains the image under γ of a finite subset of A, then there exists a *-homomorphism $\widehat{\gamma}:A\to C$ which is close to the original *-homomorphism $\gamma:A\to B$. Here "almost" and "close" should be interpreted in a suitable sense which is made precise in [36].

As a particular case of the above theorem we obtain:

Theorem 11.0.7. Let A be a simple inductive limit of continuous-trace C^* -algebras whose building blocks have their spectrum homeomorphic to [0,1]. Then A is an inductive limit of special continuous-trace C^* -algebras whose building blocks have their spectrum homeomorphic to [0,1] (in particular inductive limit of step hereditary sub- C^* -algebras of interval algebras).

Proof. Let $A = \lim_{\longrightarrow} A^k$, where A^k are continuous-trace C*-algebras whose spectrum is an interval or a finite union of intervals (or hereditary sub-C*-algebras of interval algebras).

We approximate each A^k with special continuous-trace C*-algebras, namely continuous-trace C*-algebras whose dimension function is a finite step function. This can be done using Theorem 8.1.9.

Hence we have

In section 10 (Theorem 10.2.4) we show that special continuous-trace C*-algebras have finite presentation and stable relations. By Loring's lemma

we conclude that:

$$A \cong \lim_{\longrightarrow} A_i^k$$

This last result can be viewed as a diagonal procedure to realize the algebra A as an inductive limit of special algebras.

Therefore A is an inductive limit of special continuous-trace C*-algebras (or inductive limit of step hereditary sub-C*-algebras of interval algebras).

12. The isomorphism theorem

In this section we prove the main classification result. In order to do this we use results from the previous section and results appearing in [46].

Let A a simple inductive limit of continuous-trace C*-algebras whose spectrum is [0, 1]. Denote by $\Gamma(A)$ the invariant associated to A:

$$\Gamma(A) = [D(A), AffT^{+}A, Aff'A]$$

Then Theorem 2.0.1 can be restated as follows:

If A and B are simple inductive limits of continuous-trace C^* -algebras such that there is an isomorphism between $\Gamma(A)$ and $\Gamma(B)$, in a sense made precise in Section 2, then there is an isomorphism between A and B which induces at the level of the invariant the given isomorphism.

Proof. In the previous section, Theorem 11.0.7, we have proved that any inductive limit of continuous-trace C*-algebras whose spectrum is [0,1] is isomorphic to an inductive limit of special continuous-trace C*-algebras and in particular is isomorphic to an inductive limit of hereditary sub-C*-algebras with finite step function dimension function. Therefore we can use the Stevens Classification Theorem, Theorem 3.0.4, to get an isomorphism theorem for simple inductive limits of continuous-trace C*-algebras.

For completeness of the argument we reproduce the main steps of the proof of Theorem 3.0.4. For the proofs of all these steps we refer the reader to [46].

12.1. Pulling back the isomorphism between inductive limits to the finite stages. The first step in the proof is the pulling back of the maps from the inductive limit to the finite stages. As it is shown in [46], at the level of the dimension range we obtain an exactly commuting diagram. At the level of the affine space, after we pull back the isomorphism between the inductive limits to the finite stages, we get an approximate intertwining between affine spaces which approximately preserves the scale.

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12.2. **Existence Theorem.** To construct maps between the building blocks, we shall need the Stevens Existence Theorem.

Theorem 12.2.1. Let A be a step hereditary sub- C^* -algebra (see Definition 7.1.2 or [46] for a definition of step-hereditary sub- C^* -algebra). Let a finite subset F, F contained in $AffT^+A$, and $\epsilon > 0$ be given. Then there are $N \in \mathbb{N}$ and $F' \in Aff'A$ such that for B a step hereditary C^* -algebra and $\varphi_0 : D(A) \to D(B), \psi_T : AffT^+A \to AffT^+B$ maps satisfying the following conditions:

- 1. φ_0 has multiplicity at least N (recall that D(A), D(B) are intervals in \mathbb{Z} ;
- 2. $dist(\varphi_T(F'), Aff'B) \leq 1/N;$
- 3. φ_0 and φ_T are approximately compatible in the sense that

$$||\widehat{\varphi([r])} - \varphi_T(\widehat{[r]}|| < 1/N$$

for any $[r] \in D(A)$, $r \in Proj(A)$, there is a homomorphism $\psi : A \to B$ such that $\varphi_0 = \psi_0$ and $||(\varphi_T - \psi_T)a|| < \epsilon$ for all $a \in F$.

12.3. Uniqueness Theorem. At this stage we have a (not necessarily approximately commutative) diagram of algebra homomorphisms that induces an approximately commutative diagram at the level of the inductive limit systems of invariants which were obtained after pulling back the isomorphism. To make the diagram approximately commutative we need to apply the Stevens Uniqueness Theorem:

Theorem 12.3.1. Let A be a step hereditary sub- C^* -algebra of an interval algebra and d > 0 an integer, $F \subset A$ a finite subset and $\epsilon > 0$. Let B be a step-hereditary subalgebra and $\psi, \varphi : A \to B$ with the following properties: $1. \varphi_0 = \psi_0 : D(A) \to D(B)$,

- 2. ψ and φ have at least δ -fraction eigenvalues in each d consecutive subintervals of length $\frac{1}{d}$ of [0,1], with $\hat{r_i}$ the functions equal to 0 from 0 to $\frac{i}{d}$, equal to 1 on $[\frac{i+1}{d},1]$ and linear in between, $||(\varphi_T \psi_T)(\hat{r_i})|| < \delta$,
- 3. if $x_1, x_2 \in [0, 1], |x_1 x_2| < \frac{3}{d}$ then $||a(x_1) a(x_2)|| < \frac{\epsilon}{2}$ for all $a \in F$. Then there is an automorphism of B, f, that preserves the invariant and is such that

$$||(\psi - f\varphi)(a)|| < \epsilon \quad \forall a \in F$$

Remark 12.3.2. It is a consequence of the I. Stevens's uniqueness theorem that the automorphism f obtained above fixes the spectrum. It is well known, see [18] or [31], that an automorphisms of continuous-trace C*-algebras whose spectrum is [0,1] and which fixes the spectrum is necessarily an approximately inner automorphism.

The above remark allows us to state the following Uniqueness Theorem:

Theorem 12.3.3. Let A be a special continuous-trace C^* -algebra and d > 0 an integer, $F \subset A$ a finite subset and $\epsilon > 0$. Let B be a special continuous-trace C^* -algebra and $\psi, \varphi : A \to B$ with the following properties: 1. $\varphi_0 = \psi_0 : D(A) \to D(B)$,

- 2. ψ and φ have at least δ -fraction eigenvalues in each d consecutive subintervals of length $\frac{1}{d}$ of [0,1], with $\hat{r_i}$ the functions equal to 0 from 0 to $\frac{i}{d}$, equal to 1 on $[\frac{i+1}{d},1]$ and linear in between, $||(\varphi_T \psi_T)(\hat{r_i})|| < \delta$,
- to 1 on $[\frac{i+1}{d}, 1]$ and linear in between, $||(\varphi_T \psi_T)(\hat{r_i})|| < \delta$, 3. if $x_1, x_2 \in [0, 1], |x_1 - x_2| < \frac{3}{d}$ then $||a(x_1) - a(x_2)|| < \frac{\epsilon}{2}$ for all $a \in F$. Then there is an approximately inner automorphism of B, f, such that

$$||(\psi - f\varphi)(a)|| < \epsilon \quad \forall a \in F$$

Remark 12.3.4. Knowing that the automorphism in the uniqueness theorem is approximately inner simplifies the intertwining argument.

12.4. The Elliott approximate intertwining argument. The last step is to observe that by applying the uniqueness theorem we can modify the maps so that the diagram approximately commutes. In other words passing to suitable subsequences of algebras, it is possible to perturb each of the homomorphisms obtained in the Existence Theorem by an inner automorphism, in such a way that the diagram becomes an approximate intertwining, in the sense of Theorem 2.1,[20].

Therefore, by the Elliott approximate intertwining theorem (see [20], Theorem 2.1), the algebras A and B are isomorphic.

12.5. Proof of Theorem 2.0.1, the isomorphism theorem for certain simple stably AI algebras. By Theorem 11.0.7 we get that all simple stably AI algebras which are inductive limit of continuous-trace C*-algebras with spectra the closed interval [0, 1] and all irreducible representations finite dimensional are inductive limits of step hereditary sub-C*-algebras. Therefore these simple stably AI algebras satisfies the hypothesis of the Theorem 3.0.3. Consequently we can apply the isomorphism Theorem 3.0.3 and prove Theorem 2.0.1.

13. The range of the invariant

In this section we consider the class of simple AI algebras which are not necessarily of real rank 0. Using algebras from this class we construct a simple stably AI algebra which will exhaust the invariant.

In order to construct a simple stably AI algebra we use the trace norm map:

Definition 13.0.1. Let \mathcal{H} be a sub-C*-algebra of a C^* -algebra \mathcal{A} . The trace norm map associated to \mathcal{H} is a function $f: T^+(\mathcal{H}) \to (0, \infty]$ such that $f(\tau) = ||\tau|_{\mathcal{H}}||, \infty$ if τ is unbounded.

Recall that:

Definition 13.0.2. $T^+(A)$ is the cone of positive trace functionals on A with the inherited w*-topology.

Remark 13.0.3. Let \mathcal{A} be a continuous-trace C*-algebra whose spectrum is [0,1]. The trace norm map restricted to the extreme traces is exactly the dimension range.

Remark 13.0.4. Knowing the trace norm map is equivalent to knowing the special subset of the affine space, the scale, Aff'(). For instance if the trace norm map takes only the infinity value then the scale is the whole affine space $AffT^+()$.

Remark 13.0.5. The trace norm map must be a lower semicontinuous affine map (being a supremum of a sequence of continuous functions).

Remark 13.0.6. The dimension range can be determined using the values of the trace norm map f, the simplex of tracial states S and dimension group G. A formula for the dimension range D is:

$$D = \{ x \in G/v(x) < f(v), v \in S, v \neq 0 \}$$

Remark 13.0.7. If the algebra \mathcal{H} is unital then the trace norm map does not take the infinity value.

I. Stevens constructed a hereditary sub-C*-algebra of a simple (unital) AI-algebra which is obtained as an inductive limit of hereditary subalgebras of the building blocks of the AI-algebra, and has as a trace norm map any given affine continuous function; cf.[46], Proposition 30.1.7. Moreover she showed that any lower semicontinuous map can be realized as a trace norm map in a special case. Our result is a generalization to the case of unbounded trace norm map when restricted to the base of the cone.

Theorem 2.0.3 Suppose that G is a simple countable dimension group, V is the cone associated to a metrizable Choquet simplex S, $\lambda: S \to Hom^+(G, R)$ is a continuous affine map with its range dense and sending extreme rays in extreme rays, and $f: S \to (0, \infty]$ any affine lower semicontinuous map. Then $[G, (V, S), \lambda, f]$ is the Elliott invariant of some simple non-unital algebra stably AI algebra.

Proof. The proof is based on I. Stevens's proof in a special case and consists of several steps.

Step 0

We start by constructing a simple stable AI-algebra \mathcal{A} with its Elliott invariant: $[(G, D), V, \lambda]$. We know that this is possible (see [45]). By tensoring with the algebra of compact operators we may assume \mathcal{A} is a simple stable AI algebra.

Step 1

We restrict the map f to the base S of the cone $T^+(A)$, where the cone V is naturally identified with $T^+(A)$. Since any lower semicontinuous affine map $f: S \to (0, +\infty]$ is a pointwise limit of an increasing sequence of continuous affine positive maps, (see [1]), we can choose $f = \lim f_n$, where f_n are continuous affine and strictly positive functions.

Moreover by considering the sequence of functions $g_n = f_{n+1} - f_n$ if n > 1

and $g_1 = f_1$ we get that:

$$\sum_{n=1}^{\infty} g_n = f$$

Step 2

Next we use the results of Stevens ([46], Prop. 30.1.7), to realize each such continuous affine map g_n as the norm map of a hereditary sub-C*-algebra \mathcal{B}_n (which is an approximately step hereditary) of the AI-algebra \mathcal{A} obtained at Step 0.

Consider the L^{∞} direct sum $\oplus \mathcal{B}_i$ as a sub-C*-algebra of \mathcal{A} . The trace norm map of the sub-C*-algebra $\oplus \mathcal{B}_i$ of \mathcal{A} is equal to $\sum_{i=1}^{\infty} g_i = f$.

To see that $\oplus \mathcal{B}_i$ is a sub-C*-algebra of \mathcal{A} we use that \mathcal{A} is a stable C*-algebra:

$$\oplus \mathcal{B}_i = \begin{pmatrix} \mathcal{B}_1 & 0 \\ & \mathcal{B}_2 \\ 0 & \ddots \end{pmatrix} \subseteq \mathcal{A} \otimes \mathbb{K} \cong \mathcal{A}.$$

Next we consider the hereditary sub-C*-algebra \mathcal{H} generated by $\oplus \mathcal{B}_i$ inside of \mathcal{A} .

In order to prove that the trace norm map of \mathcal{H} is f it is enough to show that the norm of a trace on $\oplus \mathcal{B}_i$ is the same as on \mathcal{H} .

Let τ be a trace on any C*-algebra and u_{λ} an approximate unit of that C*-algebra. Then it is well known, see [44], that $||\tau|| = \lim \tau(u_{\lambda})$.

Hence it suffices to prove that an approximate unit of the sub-C*-algebra $\oplus \mathcal{B}_i$ is still an approximate unit for the hereditary sub-C*-algebra \mathcal{H} .

We shall prove first that the hereditary sub-C*-algebra generated by $\oplus \mathcal{B}_i$ coincides with the hereditary sub-C*-algebra generated by one of its approximate units. Let $(u_{\lambda})_{\lambda}$ be an approximate unit of $\oplus \mathcal{B}_i$. Denote by \mathcal{U} the hereditary sub-C*-algebra of \mathcal{A} generated by $\{(u_{\lambda})_{\lambda}\}$. We want to prove that \mathcal{U} is equal with \mathcal{H} .

Since $(u_{\lambda})_{\lambda}$ is a subset of $\oplus \mathcal{B}_i$ we clearly have:

$$\mathcal{U} \subset \mathcal{H}$$
.

For the other inclusion we observe that:

$$\forall b \in \oplus \mathcal{B}_i : b = \lim_{\lambda \to \infty} u_{\lambda} b u_{\lambda}.$$

Now each $u_{\lambda}bu_{\lambda}$ is an element of the hereditary sub-C*-algebra generated by $(u_{\lambda})_{\lambda}$ and hence $b \in \mathcal{U}$. Therefore $\oplus \mathcal{B}_i \subset \mathcal{U}$ which implies that: $\mathcal{H} \subset \mathcal{U}$.

We conclude that: $\mathcal{H} = \mathcal{U}$ and hence the trace norm map of \mathcal{H} is f. Therefore \mathcal{H} is a simple stably AI algebra with the given invariant.

Remark 13.0.8. The approximate unit $(u_{\lambda})_{\lambda}$ of $\oplus \mathcal{B}_{i}$ is still an approximate unit for the hereditary sub-C*-algebra \mathcal{U} . To see why this is true let us consider the sub-C*-algebra of \mathcal{A} defined as follows: $\{h \in \mathcal{A} \mid h = \lim_{\lambda \to \infty} u_{\lambda}h\}$.

This sub-C*-algebra of \mathcal{A} is a hereditary sub-C*-algebra. Indeed let $0 \leq$

 $k \leq h$ with $h = \lim_{\lambda \to \infty} u_{\lambda}h$. We want to prove that $k = \lim_{\lambda \to \infty} u_{\lambda}k$. Consider the hereditary sub-C*-algebra \overline{hAh} of A which clearly contains h (because $h^2 = \lim_{\lambda \to \infty} h u_{\lambda} h$). Therefore $k \in \overline{h \mathcal{A} h}$.

Since $h = \lim_{\lambda \to \infty} u_{\lambda}h$ we obtain that u_{λ} is an approximate unit for \overline{hAh} . In particular:

$$k = \lim_{\lambda} u_{\lambda} k$$

 $k=\lim_{\lambda}u_{\lambda}k$ and hence $\{h\in\mathcal{A}\mid h=\lim_{\lambda\to\infty}u_{\lambda}h\}$ is a hereditary sub-C*-algebra of \mathcal{A} . Since \mathcal{U} is the smallest hereditary containing $(u_{\lambda})_{\lambda}$ we get that:

$$\mathcal{U} \subset \{h \in \mathcal{A} \mid h = \lim_{\lambda \to \infty} u_{\lambda} h\}$$

and hence u_{λ} is an approximate unit for \mathcal{U} .

14. Non-AI algebras which are stably (isomorphic) AI algebras

In this section we present a sufficient condition on the invariant that will allow us to construct a stably AI algebra which is not an AI-algebra.

With $[G, V, \lambda, f]$ as before we observe that for an AI-algebras with the Elliott invariant canonically isomorphic to the given invariant we have the following equality always holds:

$$f(v) = \sup\{v(q) : q \in D\},\$$

where D is the dimension range. This is true by simply using the fact that any AI-algebra has an approximate unit consisting of projections.

Therefore a sufficient condition imposed on the invariant in order to get a stably isomorphic AI algebra but not an AI-algebra is:

$$f(v) \neq \sup\{v(g) : g \in D\}.$$

This condition is also necessary. Namely assume that we have f(v) = $\sup\{v(g):g\in D\}$ and we have constructed a simple ASH C*-algebra stably isomorphic to an AI algebra \mathcal{A} with the invariant canonically isomorphic with the tuple $[G, V, \lambda, f]$. Consider $D = \{x \in G : v(x) < f(v), v \in S, v \neq f(v), v \in S, v \in$ 0, where S is a base of the cone V. For the tuple $[G, D, V, S, \lambda]$ we can build (via the range of the invariant for simple AI algebras, [45]) a simple AI-algebra \mathcal{B} with the invariant naturally isomorphic with the given tuple.

Note that the trace norm map which is defined starting from the tuple $[K_0(\mathcal{B}), D(\mathcal{B}), T^+\mathcal{B}, \lambda_{\mathcal{B}}]$ is exactly f because of the equality:

$$f(v) = \sup\{v(g) : g \in D\}$$

and \mathcal{B} is an AI-algebra.

It is clear that \mathcal{B} is a stably AI algebras and hence by the isomorphism Theorem 2.0.1 for the class of simple ASH C*-algebras stably isomorphic AI algebras we conclude that \mathcal{A} isomorphic to \mathcal{B} . Hence \mathcal{A} is a simple AI algebras as desired and we have proved the following theorem:

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Theorem 14.0.9. Let A be a simple C^* -algebra stably AI algebra which is an inductive limit of continuous-trace C^* -algebras whose spectrum is homeomorphic to [0,1] and all irreducible representations are finite dimensional. A necessary and sufficient condition for A to be a simple AI algebra is:

$$f(v) = \sup\{v(g) : g \in D\}.$$

15. The size of the class of simple stably AI algebras is much larger then the size of the class of simple AI algebras

To see this we consider the simple AI algebra necessarily not of real rank zero with scaled dimension group $(\mathbb{Q}, \mathbb{Q}_+)$ and cone of positive trace functionals a 2-dimensional cone; see [45]. Then the set of possible stably AI algebras, or equivalently the set of possible trace norm maps, may be represented as the extended affine space shown in the following schematic diagram:



Each off-diagonal point in the diagram is the trace norm map of one of I. Stevens's algebras. The boundary points of the first quadrant are removed (dotted lines) and the points with infinite coordinates are allowed. The dimension range is embedded in a canonical way in the extended affine space as the main diagonal consisting of the points with rational coordinates.

The two bold lines represent the cases of stably AI algebras with unbounded trace norm map (points on these two lines have at least one coordinate infinity).

If the point is off the diagonal and in the first quadrant, by Theorem 13.0.9 we get that the corresponding stably AI algebra is an ASH algebra which is not AH. It is clear that the size of the set of points off the diagonal is much larger then the size of the set of points on the diagonal. (For instance in terms of the Lebesgue measure.)

This picture shows that the class of simple AI algebras sits inside the class of stably AI algebras as the main diagonal sits inside the first quadrant. (Note especially the small dots which correspond to both unital and non-unital AI algebras: recall the case of stably UHF algebras!)

The algebras in the preceding example are not of real rank zero.

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